



AUDIT REPORT

Client ID No/ CIP/5400	Date 14 December 2020	Total Pages:
Pre-Audit <input type="checkbox"/> Stage 1 Audit <input type="checkbox"/> Stage 2 Audit <input checked="" type="checkbox"/> Surveillance Audit <input type="checkbox"/> Re-Assessment Audit <input type="checkbox"/> Transition <input type="checkbox"/> Special / Extension <input type="checkbox"/> Follow-Up <input type="checkbox"/> Unannounced <input type="checkbox"/>		
Organization Name & Address: NATIONAL POLICE COLLEGE Camp Castaneda, Silang Cavite		
Standard(s) to be covered by certification: <input checked="" type="checkbox"/> ISO 9001 <input type="checkbox"/> ISO 14001 <input type="checkbox"/> OHSAS 18001 <input type="checkbox"/> ISO 45001 <input type="checkbox"/> ISO 27001 <input type="checkbox"/> ISO 22000 <input type="checkbox"/> ISO 50001 <input type="checkbox"/> ISO 55001 <input type="checkbox"/> HACCP <input type="checkbox"/> Others, please specify:		
Recommended Scope: (Attach extra page if necessary) Development and provision of education and training program.		
Functional Areas or Processes Audited: (please enumerate): Review of Internal and External Issue, Needs and Expectation of Interested Parties; Action Plan to Address Risks and Opportunities (Clause 4.1, 4.2, 6.1), Course Preparation (Clause 6.2, 8.5 and 9.1), Internal Audit (Clause 9.2) Nonconformity and Corrective Action (Clause 10.1, 10.2), Customer Feedback and Complaint Handling (Clause 9.1.2 and 10.2), Management Review (Clause 9.3), Admission of Students (Clause 6.2, 8.5 and 9.1), Evaluation and Assessment (Clause 6.2, 8.5 and 9.1), Education and Training Implementation (Clause 6.2, 8.5 and 9.1), Infrastructure *Clause 6.2, 7.1.3, 9.1), 1. Competence (Clause 7.2) and Awareness (Clause 7.3), Organizational knowledge (Clause 7.1.6), Control of externally provided processes, products, and services (Clause 8.4), Documented Information (Clause 7.5), Finance/Budget and Accounting (Clause 6.2, 8.5 and 9.1)		
Use of Certification Mark acceptable Y <input checked="" type="checkbox"/> N <input type="checkbox"/>	If "No" Raise Action Request RP2	
Are there any changes since the last audit Y <input type="checkbox"/> N <input checked="" type="checkbox"/> If Yes, please indicate change and give brief description: Company name <input type="checkbox"/> main/site address(s) <input type="checkbox"/> scope <input type="checkbox"/> number of employees <input type="checkbox"/> OHSMS reportable serious incident or breach of regulation <input type="checkbox"/>		



Client ID No/ CIP/5400	Date 14 December 2020	Total Pages:
------------------------	-----------------------	--------------

1. Audit Conclusions

Lead Auditor recommendation	QMS	EMS	OH&S	FSMS	ISMS	EnMS	AMS	HACCP
Certification recommended, subject to implementation of action plan related to AR's raised (within 30 days)	✓							
Certification not recommended								
Certification continuation								
Certification discontinuation/suspension/withdrawal								
Certification renewal								
Certification renewal subject to implementation of action plan related to AR's raised (within 1 month of certification expiry)								

2.Executive Summary to Client:

The organization was able to generally demonstrate compliance to the requirements of the Standard although there were 6 minor non-conformities raised and some observations and some opportunities for improvement at the time of the Stage 2 (Off-site) audit.

It was also observed that Scope of the Quality Management System states development and provision of education and training program however the activities of the auditee on the design stage was not clearly stated. It is strongly suggested to review and if necessary, update the scope of the QMS and clearly state the inclusion or exclusion the development of education and training program.

The organization is strongly encouraged to take actions on the observations identified during the audit.

Action Requests raised

# Major	# Minor
	6

07 February 2021

This Report consists of this document (RP1) , attachments (RP1-1) and action requests (RP2) as indicated

OH&S Management System audits only.

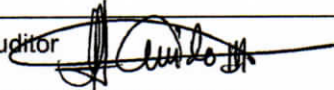
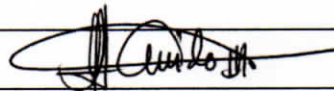
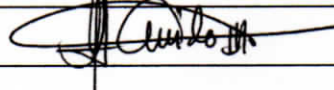
In case of OHSMS, have all activities, products and services within the organisation's control or influence that can impact the organisation's OHSMS performance been included in the management system? yes no

Is a Special Audit recommended following an OHSMS reportable serious incident or breach of regulation? yes no
State justification:

Has there been a closure of facilities/work areas since the last audit? yes no

If Yes, confirm that new risks have been identified and handled in compliance with requirement. Provide evidence.



Client ID No/ CIP/5400		Date 14 December 2020	Total Pages:
<p>Are there any areas of concern (i.e. for OHSMS a serious accident or incident or breach of OHS regulation necessitating the involvement of the competent authority) that could be classified as a nonconformity during stage 2 or would affect the transfer of certification? <input type="checkbox"/> yes <input type="checkbox"/> no If yes, please specify:</p> <p>Are there any relevant regulatory requirements that have been identified as a non-conformance and needed to be communicated to the organisation? <input type="checkbox"/> yes <input type="checkbox"/> no Please provide details.</p>			
Lead Auditor  FERNANDO P. GARRIDO III Name/ Signed Date 07 Jan. 2021		Company Representative Name/ Signed Date 	
Audit Team Members: Lead Auditor	FERNANDO P. GARRIDO III 		
Auditor 1	SOFIA S. MANGAHAS		
Auditor 2	JANET N. SAFRANCA		
Auditor 3			
Auditor 4/Technical Advisor			
3. Audit Summary What to report on within this section: Stage 1 (a) Comment on compliance of management system documentation (b) Level of preparedness (c) Identification of sites whether they are to appear on certificates or just support the main site and if they are considered key sites (attach list if possible) All Audits. (a) Confirm Audit Plan was covered or provide details if not. (b) Comment on the organization's current activities related to the scope (existing business, new business etc.). (c) Comment on level of compliance with the relevant standard(s), (d) Comment on <u>effectiveness of links</u> between standards, organization's policy, objectives and targets, legal requirements, responsibilities, personnel competence, operations, procedures, performance data and internal findings and conclusions as appropriate (e) Key positive comments			